



U.S. Department of Transportation  
Research and Special Programs Administration

## Hazardous Materials Incident Report

Form Approval OMB No. 3137-0039

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 2137-0039. The filling out of this information is mandatory and will take 96 minutes to complete.

### INSTRUCTIONS

Submit this report to the Information Systems Manager, U.S. Department of Transportation, Pipeline and Hazardous Materials Safety Administration, Office of Hazardous Materials Safety, DHM-63, Washington, D.C. 20590-0001. If space provided for any item is inadequate, use a separate sheet of paper, identifying the entry number being completed. Copies of this form and instructions can be obtained from the Office of Hazardous Materials Website at <http://hazmat.dot.gov>. If you have any questions, you can contact the Hazardous Materials Information Center at 1-800-HMR-4922 (1-800-467-4922) or online at <http://hazmat.dot.gov>.

### PART I - REPORT TYPE

1. Incident Id: X-2014010288  
2. This is to report: A

### PART II - GENERAL INCIDENT INFORMATION

3. Date of Incident:  
12/31/2013
4. Time of Incident (use 24-hour time):  
07:57
5. Enter National Response Center Report Number (if applicable):
6. If you submitted a report to another Federal DOT agency, enter the agency and report number:
7. Location of Incident:
- City: Elko  
County: Elko  
State: NV  
Zip Code: (if known): 89802  
Street Address/Mile Marker/Yard Name/Airport/Body of Water/River Mile:
8. Mode of Transportation: Rail
9. Transportation Phase: In Transit
10. Carrier/Reporter:
- Name: Union Pacific Railroad  
Street: 1400 Douglas Street Mail Stop 1040  
City: Omaha  
State: NE  
Zip Code: 68179  
Federal DOT Id Number: Unavailable  
Hazmat Registration Number: 062509003001RT
11. Shipper/Officer:
- Name: Cyanco  
Street: 9450 Double R Boulevard, Suite #2  
City: Reno  
State: NV  
Zip Code: 89521  
Waybill/Shipping Paper: 979797  
Hazmat Registration Number:
12. Origin (if different from shipper address)
- Street: 5505 Cyanco Drive  
City: Winnemucca  
State: NV  
Zip Code: 89445
13. Destination:
- Street: 212 Frank West Circle  
City: Stockton  
State: CA  
Zip Code: 95206
14. Proper Shipping Name of Hazardous Material: AMMONIA ANHYDROUS
15. Technical/Trade Name:
16. Hazardous Class/Division: Nonflammable Compressed Gas
17. Identification Number: (E.g. UN2764, NA 2020) UN1005

**18. Packing Group:** (if applicable)

**19. Quantity Released:** (Include Measurement Units) 3 Gas - Cubic Foot

**20. Was the material shipped as a hazardous waste?** False

If yes, provide the EPA Manifest Number:

**21. Is this a Toxic by Inhalation (TIH) material?** False

If yes, provide the Hazard Zone:

**22. Was the material shipped under an Exemption, Approval, or Competent Authority Certificate?** False

If yes, provide the Exemption, Approval, or CA number:

**23. Was this an undeclared hazardous materials shipment?** False

### PART III - PACKAGING INFORMATION

**24. Check Packaging Type (check only one - if more than one, list type of packaging, copy Part III, and complete for each type:**

Tank Car

**25. See instructions and enter the appropriate failure codes found at the end of the instructions. Be sure to enter the codes from the list that corresponds to the particular packaging type checked above. Enter the number of codes as appropriate to describe the incident.**

Enter the most important failure point in line 1. If there are more than two failure points, provide in this format in part VI.

What Failed: - 158-Vapor Valve

How Failed: - 308-Leaked

Causes of Failure: - Valve Open

**26a. Provide the packaging identification markings, if available.**

Identification Markings: 112J340W

(Examples: 1A1/Y1.4/150/92/USA/RB/93/RL, UN31H1/Y0493/USA/M9339/10800/1200, DOT - 105A - 100W (RAIL), DOT 406 (HIGHWAY), DOT 51, DOT 3-A)

**26b. For Non-bulk, IBC, or non-specification packaging, if identification markings are incomplete or unavailable, see instructions and complete the following:**

**Single Package or Outer Packaging:**

**Single Package or Inner Packaging (if any):**

Packaging Type:  
Material of Construction:  
Head Type (Drums only):

Packaging Type:  
Material of Construction:

**27. Describe the package capacity and the quantity:**

**Single Package or Outer Packaging:**

**Single Package or Inner Packaging (if any):**

Package Capacity:  
Amount in Package:  
Number in Shipment:  
Number Failed:

Package Capacity:  
Amount in Package:  
Number in Shipment:  
Number Failed:

**28. Provide packaging construction and test information, as appropriate:**

Manufacturer: TRN023

Serial Number: GATX201493

Material of Construction: AAR TC-128, Gr. B (if Tank Car, CTMV, Portable Tank, or Cylinder)

Design Pressure: (if Tank Car, CTMV, Portable Tank)

Shell Thickness: 0.608 INCH (if Tank Car, CTMV, Portable Tank)

Head Thickness: 0.625 INCH (if Tank Car, CTMV)

Service Pressure: (if Cylinder)

If valve or device failed:

Type:

Model:

Manufacturer:

Manufacture Date:

Last Test Date:

**29. If the packaging is for Radioactive Materials, complete the following:**

Packaging Category:

Packaging Certification:

Certification Number:

Nuclide(s) Present:

Activity:

Critical Safety Index:

Transport Index:

## PART IV – CONSEQUENCES

### 30. Result of Incident (check all that apply):

- |                                        |                                          |
|----------------------------------------|------------------------------------------|
| - Spillage:                            | - Fire:                                  |
| - Explosion:                           | - Material Entered Waterway/Storm Sewer: |
| - Vapor (Gas) Dispersion:    True      | - Environmental Damage:                  |
| - No Release:                    False |                                          |

### 31. Emergency Response: The following entities responded to the incident: (Check all that apply)

Fire/EMS Report #: \_\_\_\_\_  
Police Report #:     True 13H451619  
In-house cleanup: \_\_\_\_\_  
Other Cleanup: \_\_\_\_\_

### 32. Damages Was the total damage cost more than \$500? True

If yes, enter the following information:                    (If no, go to question 33.)

|                           |             |
|---------------------------|-------------|
| Material Loss:            | \$ 0.00     |
| Carrier Damage:           | \$ 0.00     |
| Property Damage:          | \$ 0.00     |
| Response Cost:            | \$ 2,380.00 |
| Remediation/Cleanup Cost: | \$ 0.00     |

*(See damage definitions in the instructions)*

### 33a. Did the hazardous material cause or contribute to a human fatality? False

If yes, enter the number of fatalities resulting from the hazardous material:

|                 |  |
|-----------------|--|
| Employees:      |  |
| Responders:     |  |
| General Public: |  |

### 33b. Were there human fatalities that did not result from the hazardous material? False

If yes, how many?

### 34. Did the hazardous material cause or contribute to personal injury? False

If yes, enter the number of injuries resulting from the hazardous material:

#### Hospitalized (Admitted Only):

|                 |  |
|-----------------|--|
| Employees:      |  |
| Responders:     |  |
| General Public: |  |

#### Non-Hospitalized:

(e.g.: On site first aid or Emergency Room observation and release)

|                 |  |
|-----------------|--|
| Employees:      |  |
| Responders:     |  |
| General Public: |  |

### 35. Did the hazardous material cause or contribute to an evacuation? True

If yes, provide the following information:

|                                           |    |
|-------------------------------------------|----|
| Total number of general public evacuated: | 0  |
| Total number of employees evacuated:      | 15 |
| Total evacuated:                          | 15 |
| Duration of the evacuation:               | 5  |

### 36. Was a major transportation artery or facility closed? True

If yes, how many?                    5

### 37. Was the material involved in a crash or derailment? False

If yes, provide the following information:

|                             |  |
|-----------------------------|--|
| Estimated speed (mph):      |  |
| Weather conditions:         |  |
| Vehicle overturned?         |  |
| Vehicle left roadway/track? |  |

## PART V - AIR INCIDENT INFORMATION (please refer to S 175.31 to report a discrepancy for air shipments)

### 38. Was the shipment on a passenger aircraft?

If yes, was it tendered as cargo, or as passenger baggage?

### 39. Where did the incident occur (if unknown, check the appropriate box for the location where the incident was discovered)?

### 40. What phase(s) had the shipment already undergone prior to the incident? (Check all that apply)

- |                                          |                                                  |
|------------------------------------------|--------------------------------------------------|
| - Shipment had not been transported      | - Transported by air (first flight)              |
| - Transport by air (subsequent flights)  | - Initial transport by highway to cargo facility |
| - Transfer at sort center/cargo facility |                                                  |

## PART VI - DESCRIPTION OF EVENTS & PACKAGE FAILURE

- Describe the sequence of events that led to the incident and the actions taken at the time it was discovered. Describe the package failure, including the size and location of holes, cracks, etc. Photographs and diagrams should be submitted if needed for clarification. Estimate the duration of the release, if possible. Describe what was done to mitigate the effects of the release. Continue on additional sheets if necessary.

### Describe:

UPRR MYO reported strong odor near tank car GATX 201493 and began to feel light headed. Fire department responded and made a defensive entry but was unable to determine the source of any release. Consignee Cyanco was contacted and responded. Contractor and Cyanco performed an offensive entry and the vapor valve was found two full turns from being closed and the liquid valve plug was not installed in the valve. The valve was tightened and plug inserted, stopping the vapor leak.

## PART VII - RECOMMENDATIONS/ACTIONS TAKEN TO PREVENT RECURRENCE

- Where you are able to do so, suggest or describe changes (such as additional training, use of better packaging, or improved operating procedures) to help prevent recurrence. Provide recommendations for improvement to hazardous materials transportation beyond the control of your individual company. Continue on additional sheets if necessary.

### Describe:

Ensure car is inspected for proper securement prior to being released.

## PART VIII – CONTACT INFORMATION

|                             |                                                                             |
|-----------------------------|-----------------------------------------------------------------------------|
| Contact's Name:             | Lane Sekavec                                                                |
| Contact's Title:            | Regional Manager Hazardous Materials                                        |
| Business Name and Address:  | Union Pacific Railroad<br>1400 Douglas Street Mail Stop 1040 Omaha NE 68179 |
| E-mail Address:             | laneasekavec@up.com                                                         |
| Telephone Number:           | 816-399-1883                                                                |
| Fax Number:                 | 402-233-2562                                                                |
| Hazmat Registration Number: | 062509003001RT                                                              |
| Date:                       |                                                                             |
| Preparer is:                | Carrier                                                                     |