



U.S. Department of Transportation
Research and Special Programs Administration

Hazardous Materials Incident Report

Form Approval OMB No. 3137-0039

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 2137-0039. The filling out of this information is mandatory and will take 96 minutes to complete.

INSTRUCTIONS

Submit this report to the Information Systems Manager, U.S. Department of Transportation, Pipeline and Hazardous Materials Safety Administration, Office of Hazardous Materials Safety, DHM-63, Washington, D.C. 20590-0001. If space provided for any item is inadequate, use a separate sheet of paper, identifying the entry number being completed. Copies of this form and instructions can be obtained from the Office of Hazardous Materials Website at <http://hazmat.dot.gov>. If you have any questions, you can contact the Hazardous Materials Information Center at 1-800-HMR-4922 (1-800-467-4922) or online at <http://hazmat.dot.gov>.

PART I - REPORT TYPE

1. Incident Id: I-2013010332
2. This is to report: A

PART II - GENERAL INCIDENT INFORMATION

3. Date of Incident: 12/23/2012
4. Time of Incident (use 24-hour time): 09:30
5. Enter National Response Center Report Number (if applicable): 1034051
6. If you submitted a report to another Federal DOT agency, enter the agency and report number:
7. Location of Incident:
City: WEST FALL
County: LINCOLN
State: KS
Zip Code: (if known):
Street Address/Mile Marker/Yard Name/Airport/Body of Water/River Mile:
I-70 EASTBOUND MILE MARKER 231
8. Mode of Transportation: Highway
9. Transportation Phase: In Transit
10. Carrier/Reporter:
Name: TRANSWOOD CARRIERS, INC.
Street: 2565 SAINT MARYS AVE
City: OMAHA
State: NE
Zip Code: 68105-1668
Federal DOT Id Number: 540777
Hazmat Registration Number: 05101191004T
11. Shipper/Officer:
Name: POET ETHANOL PRODUCTS, LLC
Street: 3939 WEBB ROAD
City: WICHITA
State: KS
Zip Code: 67226
Waybill/Shipping Paper: 26271
Hazmat Registration Number: 0702122551010U
12. Origin (if different from shipper address)
Street: 3939 WEBB ROAD
City: WICHITA
State: KS
Zip Code: 67226
13. Destination:
Street: 3300 W. 21ST STREET
City: TULSA
State: OK
Zip Code: 74107
14. Proper Shipping Name of Hazardous Material: ALCOHOLS, N.O.S.
15. Technical/Trade Name: ETHANOL
16. Hazardous Class/Division: Flammable - Combustible Liquid
17. Identification Number: (E.g. UN2764, NA 2020) UN1987

- 18. Packing Group:** (if applicable) II
- 19. Quantity Released:** (Include Measurement Units) 848 Liquid - Gallon
- 20. Was the material shipped as a hazardous waste?** False
If yes, provide the EPA Manifest Number:
- 21. Is this a Toxic by Inhalation (TIH) material?** False
If yes, provide the Hazard Zone:
- 22. Was the material shipped under an Exemption, Approval, or Competent Authority Certificate?** False
If yes, provide the Exemption, Approval, or CA number:
- 23. Was this an undeclared hazardous materials shipment?** False

PART III - PACKAGING INFORMATION

24. Check Packaging Type (check only one - if more than one, list type of packaging, copy Part III, and complete for each type:

Cargo Tank Motor Vehicle (CTMV)

25. See instructions and enter the appropriate failure codes found at the end of the instructions. Be sure to enter the codes from the list that corresponds to the particular packaging type checked above. Enter the number of codes as appropriate to describe the incident.

Enter the most important failure point in line 1. If there are more than two failure points, provide in this format in part VI.

What Failed: - 150-Tank Shell
How Failed: - 309-Punctured
Causes of Failure: - Rollover Accident

26a. Provide the packaging identification markings, if available.

Identification Markings: DOT 406

(Examples: 1A1/Y1.4/150/92/USA/RB/93/RL, UN31H1/Y0493/USA/M9339/10800/1200, DOT - 105A - 100W (RAIL), DOT 406 (HIGHWAY), DOT 51, DOT 3-A)

26b. For Non-bulk, IBC, or non-specification packaging, if identification markings are incomplete or unavailable, see instructions and complete the following:

Single Package or Outer Packaging:		Single Package or Inner Packaging (if any):	
Packaging Type:		Packaging Type:	
Material of Construction:		Material of Construction:	
Head Type (Drums only):			
27. Describe the package capacity and the quantity:			
Single Package or Outer Packaging:		Single Package or Inner Packaging (if any):	
Package Capacity:	9500 Liquid - Gallon	Package Capacity:	
Amount in Package:	7600 Liquid - Gallon	Amount in Package:	
Number in Shipment:	1	Number in Shipment:	
Number Failed:	1	Number Failed:	
28. Provide packaging construction and test information, as appropriate:			
Manufacturer:	HEIL	Manufacture Date:	03/04/2002
Serial Number:	5HTAB422327H6663	Last Test Date:	10/16/2012
Material of Construction:	ALUMINUM (if Tank Car, CTMV, Portable Tank, or Cylinder)		
Design Pressure:	5 (if Tank Car, CTMV, Portable Tank)		
Shell Thickness:	0.182 INCH (if Tank Car, CTMV, Portable Tank)		
Head Thickness:	0.204 INCH (if Tank Car, CTMV)		
Service Pressure:	(if Cylinder)		
If valve or device failed:			
Type:			
Model:			
Manufacturer:			
29. If the packaging is for Radioactive Materials, complete the following:			
Packaging Category:			
Packaging Certification:			
Certification Number:			
Nuclide(s) Present:	Transport Index:		
Activity:			
Critical Safety Index:			

PART IV – CONSEQUENCES

30. Result of Incident (check all that apply):

- | | | | |
|---------------------------|-------|--|-------|
| - Spillage: | True | - Fire: | False |
| - Explosion: | False | - Material Entered Waterway/Storm Sewer: | False |
| - Vapor (Gas) Dispersion: | False | - Environmental Damage: | True |
| - No Release: | False | | |

31. Emergency Response: The following entities responded to the incident: (Check all that apply)

Fire/EMS Report #: True 169
Police Report #: False
In-house cleanup: False
Other Cleanup: False

32. Damages Was the total damage cost more than \$500?

True

If yes, enter the following information: (If no, go to question 33.)

Material Loss: \$ 2,000.00
Carrier Damage: \$ 45,000.00
Property Damage: \$ 5,000.00
Response Cost: \$ 5,000.00
Remediation/Cleanup Cost: \$ 10,000.00
(See damage definitions in the instructions)

33a. Did the hazardous material cause or contribute to a human fatality?

False

If yes, enter the number of fatalities resulting from the hazardous material:

Employees: 0
Responders: 0
General Public: 0

33b. Were there human fatalities that did not result from the hazardous material?

False

If yes, how many? 0

34. Did the hazardous material cause or contribute to personal injury?

False

If yes, enter the number of injuries resulting from the hazardous material:

Hospitalized (Admitted Only):

Employees: 0
Responders: 0
General Public: 0

Non-Hospitalized:

(e.g.: On site first aid or Emergency Room observation and release)

Employees: 0
Responders: 0
General Public: 0

35. Did the hazardous material cause or contribute to an evacuation?

False

If yes, provide the following information:

Total number of general public evacuated:
Total number of employees evacuated:
Total evacuated:
Duration of the evacuation:

36. Was a major transportation artery or facility closed?

True

If yes, how many? 2

37. Was the material involved in a crash or derailment?

True

If yes, provide the following information:

Estimated speed (mph): 65
Weather conditions: CLEAR AND COOL
Vehicle overturned? True
Vehicle left roadway/track? True

PART V - AIR INCIDENT INFORMATION (please refer to S 175.31 to report a discrepancy for air shipments)

38. Was the shipment on a passenger aircraft?

If yes, was it tendered as cargo, or as passenger baggage?

39. Where did the incident occur (if unknown, check the appropriate box for the location where the incident was discovered)?

40. What phase(s) had the shipment already undergone prior to the incident? (Check all that apply)

- | | |
|--|--|
| - Shipment had not been transported | - Transported by air (first flight) |
| - Transport by air (subsequent flights) | - Initial transport by highway to cargo facility |
| - Transfer at sort center/cargo facility | |

PART VI - DESCRIPTION OF EVENTS & PACKAGE FAILURE

- Describe the sequence of events that led to the incident and the actions taken at the time it was discovered. Describe the package failure, including the size and location of holes, cracks, etc. Photographs and diagrams should be submitted if needed for clarification. Estimate the duration of the release, if possible. Describe what was done to mitigate the effects of the release. Continue on additional sheets if necessary.

Describe:

DRIVER WAS EASTBOUND ON I-70 NEAR MILE POST 231 WHEN THE DRIVER ALLOWED THE TRACTOR'S STEERING TIRE TO GO OFF THE ROADWAY. THE DRIVER OVER-CORRECTED IN STEERING AND JERKED BACK ON THE ROAD CAUSING THE UNIT TO OVERTURN. CONTRIBUTING FACTOR LISTED WAS DRIVER NOT IN CONTROL OF VEHICLE. HAZARDOUS MATERIALS RESPONSE, IN WAS CALLED TO THE SCENE BY THE COUNTY EMS. THE KANSAS DEPARTMENT OF ENVIRONMENTAL MANAGEMENT AND THE STATE POLICE ALSO RESPONDED. IT WAS DISCOVERED THAT THE ROLLOVER CAUSED A SMALL BREACH NEAR THE TOP SIDE OF THE TANK. THE HOLE WAS PLUGGED AND THE LOAD TRANSFERRED INTO ANOTHER TANK. THE ESTIMATED LOSS IS 848 GALLONS WHEN THE REMAINING PRODUCT WAS METERED OFF FOR DELIVERY. THE SPILLED MATERIAL WAS CLEANED UP BY THE HAZ MAT TEAM AND A SMALL AMOUNT OF SOIL REMOVED UNDER THE DIRECTION OF THE KDEM.

PART VII - RECOMMENDATIONS/ACTIONS TAKEN TO PREVENT RECURRENCE

- Where you are able to do so, suggest or describe changes (such as additional training, use of better packaging, or improved operating procedures) to help prevent recurrence. Provide recommendations for improvement to hazardous materials transportation beyond the control of your individual company. Continue on additional sheets if necessary.

Describe:

DRIVER SAFETY MEETINGS ARE BEING HELD TO EMPHASIZE THE NEED TO MAINTAIN FULL ATTENTION ON DRIVING AND MAKE SURE THAT THEY DO NOT ALLOW DISTRACTIONS TO DIVERT THEIR ATTENTION FROM THE TASK AT HAND (DRIVING).

PART VIII - CONTACT INFORMATION

Contact's Name:	MARK JOHNSON
Contact's Title:	DIRECTOR, SAFETY AND COMPLIANCE
Business Name and Address:	TRANSWOOD, INC. 2565 SAINT MARY'S AVENUE OMAHA NE 68105
E-mail Address:	MJOHNSON@TRANSWOOD.COM
Telephone Number:	402-346-8092
Fax Number:	402-614-9832
Hazmat Registration Number:	
Date:	01/14/2013
Preparer is:	Carrier

03/04/2002