



U.S. Department of Transportation
Research and Special Programs Administration

Hazardous Materials Incident Report

Form Approval OMB No. 3137-0039

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 2137-0039. The filling out of this information is mandatory and will take 96 minutes to complete.

INSTRUCTIONS

Submit this report to the Information Systems Manager, U.S. Department of Transportation, Pipeline and Hazardous Materials Safety Administration, Office of Hazardous Materials Safety, DHM-63, Washington, D.C. 20590-0001. If space provided for any item is inadequate, use a separate sheet of paper, identifying the entry number being completed. Copies of this form and instructions can be obtained from the Office of Hazardous Materials Website at <http://hazmat.dot.gov>. If you have any questions, you can contact the Hazardous Materials Information Center at 1-800-HMR-4922 (1-800-467-4922) or online at <http://hazmat.dot.gov>.

PART I - REPORT TYPE

1. Incident Id: I-2016100169
2. This is to report: A

PART II - GENERAL INCIDENT INFORMATION

3. Date of Incident:
06/06/2016
4. Time of Incident (use 24-hour time):
06:00
5. Enter National Response Center Report Number (if applicable):
6. If you submitted a report to another Federal DOT agency, enter the agency and report number:
7. Location of Incident:
- City: EEL RIVER
County: HENDRIUKS
State: IN
Zip Code: (if known):
Street Address/Mile Marker/Yard Name/Airport/Body of Water/River Mile:
SR234 NEAR CR 850IN
8. Mode of Transportation: Highway
9. Transportation Phase: In Transit
10. Carrier/Reporter:
- Name: U.S. BULK TRANSPORT, INC.
Street: 205 PENNBRIAR DR
City: ERIE
State: PA
Zip Code: 16509-6650
Federal DOT Id Number: 461965
Hazmat Registration Number: 060716352099YA
11. Shipper/Officer:
- Name: HERITAGE-WTI, INC
Street: 1250 SAINT GEORGE SR UNIT 1
City: EAST LIVERPOOL
State: OH
Zip Code: 43920-3471
Waybill/Shipping Paper: 000744644WAS
Hazmat Registration Number:
12. Origin (if different from shipper address)
- Street:
City:
State:
Zip Code:
13. Destination:
- Street: 4370 W COUNTY ROAD 1275 N
City: ROACHDALE
State: IN
Zip Code: 46172
14. Proper Shipping Name of Hazardous Material: HAZARDOUS WASTE, SOLID, N.O.S.
15. Technical/Trade Name: NOS
16. Hazardous Class/Division: Miscellaneous Hazardous Material
17. Identification Number: (E.g. UN2764, NA 2020) NA3077

18. Packing Group: (if applicable) III

19. Quantity Released: (Include Measurement Units) 21 Solid - Ton

20. Was the material shipped as a hazardous waste? True
If yes, provide the EPA Manifest Number: 000744644WAS

21. Is this a Toxic by Inhalation (TIH) material? False
If yes, provide the Hazard Zone:

22. Was the material shipped under an Exemption, Approval, or Competent Authority Certificate? False
If yes, provide the Exemption, Approval, or CA number:

23. Was this an undeclared hazardous materials shipment? False

PART III - PACKAGING INFORMATION

24. Check Packaging Type (check only one - if more than one, list type of packaging, copy Part III, and complete for each type:
Other, DUMP TRAILER

25. See instructions and enter the appropriate failure codes found at the end of the instructions. Be sure to enter the codes from the list that corresponds to the particular packaging type checked above. Enter the number of codes as appropriate to describe the incident.
Enter the most important failure point in line 1. If there are more than two failure points, provide in this format in part VI.

What Failed: - 104-Body
How Failed: - 312-Torn Off or Damaged
Causes of Failure: - Human Error

26a. Provide the packaging identification markings, if available.

Identification Markings: NO MARKINGS GIVEN

(Examples: 1A1/Y1.4/150/92/USA/RB/93/RL, UN31H1/Y0493/USA/M9339/10800/1200, DOT - 105A - 100W (RAIL), DOT 406 (HIGHWAY), DOT 51, DOT 3-A)

26b. For Non-bulk, IBC, or non-specification packaging, if identification markings are incomplete or unavailable, see instructions and complete the following:

Single Package or Outer Packaging:	Single Package or Inner Packaging (if any):
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Packaging Type: Material of Construction: Head Type (Drums only):	Packaging Type: Material of Construction:
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27. Describe the package capacity and the quantity:

Single Package or Outer Packaging:	Single Package or Inner Packaging (if any):
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Package Capacity: Amount in Package: Number in Shipment: Number Failed:	Package Capacity: Amount in Package: Number in Shipment: Number Failed:
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28. Provide packaging construction and test information, as appropriate:

Manufacturer: Serial Number: Material of Construction: (if Tank Car, CTMV, Portable Tank, or Cylinder) Design Pressure: (if Tank Car, CTMV, Portable Tank) Shell Thickness: (if Tank Car, CTMV, Portable Tank) Head Thickness: (if Tank Car, CTMV) Service Pressure: (if Cylinder) If valve or device failed: Type: Model: Manufacturer:	Manufacture Date: Last Test Date:
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29. If the packaging is for Radioactive Materials, complete the following:

Packaging Category: Packaging Certification: Certification Number: Nuclide(s) Present: Activity: Critical Safety Index:	Transport Index:
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PART IV – CONSEQUENCES

30. Result of Incident (check all that apply):

- | | | | |
|---------------------------|-------|--|-------|
| - Spillage: | True | - Fire: | False |
| - Explosion: | False | - Material Entered Waterway/Storm Sewer: | False |
| - Vapor (Gas) Dispersion: | False | - Environmental Damage: | True |
| - No Release: | False | | |

31. Emergency Response: The following entities responded to the incident: (Check all that apply)

- Fire/EMS Report #: True
Police Report #: True 902717204
In-house cleanup: True
Other Cleanup: False

32. Damages Was the total damage cost more than \$500?

True

If yes, enter the following information: (If no, go to question 33.)

Material Loss: \$ 0.00
Carrier Damage: \$ 30,578.00
Property Damage: \$ 0.00
Response Cost: \$ 13,676.00
Remediation/Cleanup Cost: \$ 27,364.00
(See damage definitions in the instructions)

33a. Did the hazardous material cause or contribute to a human fatality?

False

If yes, enter the number of fatalities resulting from the hazardous material:

Employees: 0
Responders: 0
General Public: 0

33b. Were there human fatalities that did not result from the hazardous material?

False

If yes, how many? 0

34. Did the hazardous material cause or contribute to personal injury?

False

If yes, enter the number of injuries resulting from the hazardous material:

Hospitalized (Admitted Only):

Employees: 0
Responders: 0
General Public: 0

Non-Hospitalized:

(e.g.: On site first aid or Emergency Room observation and release)

Employees: 0
Responders: 0
General Public: 0

35. Did the hazardous material cause or contribute to an evacuation?

False

If yes, provide the following information:

Total number of general public evacuated:
Total number of employees evacuated:
Total evacuated:
Duration of the evacuation:

36. Was a major transportation artery or facility closed?

False

If yes, how many?

37. Was the material involved in a crash or derailment?

True

If yes, provide the following information:

Estimated speed (mph): 40
Weather conditions: CLEAR DRY
Vehicle overturned? True
Vehicle left roadway/track? True

PART V - AIR INCIDENT INFORMATION (please refer to S 175.31 to report a discrepancy for air shipments)

38. Was the shipment on a passenger aircraft?

If yes, was it tendered as cargo, or as passenger baggage?

39. Where did the incident occur (if unknown, check the appropriate box for the location where the incident was discovered)?

40. What phase(s) had the shipment already undergone prior to the incident? (Check all that apply)

- | | |
|--|--|
| - Shipment had not been transported | - Transported by air (first flight) |
| - Transport by air (subsequent flights) | - Initial transport by highway to cargo facility |
| - Transfer at sort center/cargo facility | |

PART VI - DESCRIPTION OF EVENTS & PACKAGE FAILURE

- Describe the sequence of events that led to the incident and the actions taken at the time it was discovered. Describe the package failure, including the size and location of holes, cracks, etc. Photographs and diagrams should be submitted if needed for clarification. Estimate the duration of the release, if possible. Describe what was done to mitigate the effects of the release. Continue on additional sheets if necessary.

Describe:

DRIVER LOST CONTROL OF VEHICLE AS HE SWERVED TO AVOID AN OBSTACLE IN THE ROAD. HIS TRACTOR TRAILER ROLLED OVER ONTO ITS RIDE SIDE. THE TARP ON THE DUMP TRAILER FAILED TO CONTAIN THE MATERIALS AND THEY SUBSEQUENTLY DUMPED OUT OF THE SIDE OF THE TRAILER AND LANDED ON/IN UNOCCUPIED LAND NEAR THE SHOULD OF THE ROAD. THE DRIVER IMMEDIATELY CONTACTED HIS DISPATCHER SAFETY DEPARTMENT. A PASSING MOTORIST CALLED 911. RESPONDERS ARRIVED TO CHECK THE CONDITION OF THE DRIVER (NO INJURIES). A CLEAN UP CREW WAS DISPATCHED IMMEDIATELY TO CLEAN UP THE SPILLED MATERIALS AS WELL AS RECLAIM TOP SOIL AND A DAMAGED TREE. ALL MATERIAL, TRACTOR, AND TRAILER WERE REMOVED FROM THE SCENE WITHIN A FEW HOURS.
PICTURES ATTACHED.

PART VII - RECOMMENDATIONS/ACTIONS TAKEN TO PREVENT RECURRENCE

- Where you are able to do so, suggest or describe changes (such as additional training, use of better packaging, or improved operating procedures) to help prevent recurrence. Provide recommendations for improvement to hazardous materials transportation beyond the control of your individual company. Continue on additional sheets if necessary.

Describe:

ADDITIONAL DRIVER TRAINING ON ANIMAL OBJECT IN THE ROAD AVOIDANCE.

PART VIII – CONTACT INFORMATION

Contact's Name:	VINCENT J FERLOIN
Contact's Title:	DIR. SAFETY
Business Name and Address:	U.S. BULK TRANSPORT, INC. 205 PENNBRIAR DR ERIE PA 16509
E-mail Address:	VFERLOIN@USBULKTRANSPORT.COM
Telephone Number:	814 824 9949
Fax Number:	814 824 4610
Hazmat Registration Number:	
Date:	10/26/2016
Preparer is:	Carrier